

## **EY and Outer Temple Chambers Webcast**

### **Practical legal and risk considerations when navigating your compliance and investigations framework**

*Wednesday 29 July at 13.00*

We are looking forward to seeing you soon for the above webcast. You will be joining Outer Temple Chambers' barristers with specialist experience in international internal investigations and related legal proceedings, together with investigations specialists from EY and a guest panellist from a global corporate to discuss the life cycle of an international internal investigation through the lens of a hypothetical scenario: from the preliminary considerations when commencing an internal investigation, to information gathering and the investigative process, concluding with the myriad disclosure considerations and regulatory obligations.

This webcast will cover the following topics and hypothetical scenario:

### **Topics**

How organisations can navigate the legal and practical challenges of conducting international and multi-jurisdictional internal investigations, from cross-border data sharing to regulatory disclosure obligations and legal privilege.

TOPIC 1: Starting an internal investigation

TOPIC 2: Information gathering and the investigative process

TOPIC 3: Disclosure to authorities and interested parties

### **Hypothetical Scenario - Internal Investigations**

- Cambridge Global is headquartered in the UK and specialises in the manufacture of protection equipment, including PPE for global pandemics. In 2018, it acquired a US competitor, Miami PPE Inc.
- Soon after the acquisition, Cambridge became aware that Miami had an exclusive distribution agreement in Nigeria with Lagos Protection. Miami would also transfer 35% of net invoiced sales by LP into an Isle of Man bank account. LP's boss, Dan Covid, apparently used the money in the account for what he called 'special payments' and 'prof ed', said to be standard practice in a number of emerging markets and essential in maintaining relationships with senior managers, who influenced hospitals and other institutions in their purchases PPE.
- Rather than cut its ties with LP and Mr Covid, in 2019 Cambridge senior management decided to instruct a commercial and financial director, both ex-Miami employees, to go to Nigeria to negotiate and structure the purchase of the distributor LP and to retain

Mr Covid as a consultant for 3 years. The new Nigerian distribution arm is called Cambridge LP.

- Within a few months, Reggie Right, a Cambridge employee, reports internally that Mr Covid is still bribing purchasing managers.

## **Speakers**

### **Sophie O’Sullivan** (Moderator)

Outer Temple Chambers

Sophie specialises in business crime and regulation. Her practice encompasses business crime, commercial fraud, confiscation and asset recovery, corporate investigations, health and safety, financial services and professional regulation. She is a contributor to the 3rd edition of Lissack and Horlick on Bribery, the leading work on The Bribery Act 2010, LexisNexis (to be published in 2020).

### **Nick Johnson QC**

Outer Temple Chambers

Nick specialises in business crime and associated regulatory and commercial work. He is ranked at Silk Band 1 for Financial Crime by Chambers & Partners 2020. He has particular experience in multi-jurisdictional investigations into corporate bribery and corruption in the UK, the US, Europe, Russia and the UAE.

### **Alex Haines QC**

Outer Temple Chambers

Alex specialises in international fraud and corruption. He has a broad and intricate understanding of Multilateral Development Banks and International Financial Institutions and their sanctions and debarment regimes. His practice consists of advocacy (both written and oral), litigation, investigations and advisory work.

### **Mark Goff**

EY

Mark is an Associate Partner in EY LLP’s Forensics team in London, focused on Investigations and Compliance. He trained as an accountant with EY before joining the Forensic team in 2004, since when he has supported clients across a broad range of industries, and across a wide variety of financial crime matters, from Manhattan to Mongolia.

Over the last five years, Mark’s client work has taken him to a number of different African countries, working on internal investigations, transaction due diligence and forensic audits

for Corporates, Private Equity, Multi-lateral donors such as the World Bank and IMF, and local Government.

He is currently to be found fossil hunting on the Jurassic Coast.

### **Abbie Steele**

EY

Abbie is a Senior Manager in EY UK Financial Services, Financial Crime and Forensics practice. She focusses on helping financial services companies with investigation and compliance activities.

Abbie is a Chartered Accountant and has over 10 years' of experience in forensic accounting including leading, planning, executing and delivering large and complex investigations into both sensitive and high-profile matters such as competition law, fraud, whistleblowing and financial crime.

### **Michele Manici (guest panellist)**

Michele is a Certified Fraud Examiner and possesses over 20 years' experience in the security and investigations fields across the Law Enforcement and the Corporate environment.

Michele is the Senior Investigations Manager for Vodafone Group where he leads an international team responsible to conduct internal investigations related to bribery and corruption, code of conduct violations, fraud, conflict of interest, security incidents and information protection across several countries.

He started his career in the Italian Carabinieri where he specialised in criminal investigations, and in his previous corporate role as security manager for an international bank he led numerous investigations with focus on financial crimes.